Chapter I General Provisions

Article I Newtrition™ Asia Research Grant is established by BASF (Asia Pacific) Nutrition and Health Division to fund research projects on understanding the relationship between functional ingredients and health. The grant program stimulates innovation and demonstrates BASF’s dedication by providing relevant scientific studies for health professionals, academia and industry players to advance human nutrition science.

Article II Each year, research proposals are peer reviewed and selected by the Newtrition™ Asia Research Grant expert panel which includes eminent academics in human nutrition. Winners are announced in an award ceremony and each winner will receive up to 40,000 Euros in equivalent local currencies as part of the grant program. For the 2017 program, applications will open from August to September 2016 and reviewed from October to November 2016. Recipients of the grant will be notified by December 2016 and the grant will be awarded in March 2017. Thereafter, awardees will be given a duration of 18 months to work on their respective projects.

Article III Criteria for acceptance:

1. Projects shall have significant scientific and application value, addressing existing health issues of populations in the Asia Pacific region in the areas of human nutrition and food science. There will be different health priorities and health ingredients for each year. Please refer to the application introduction each year for further details.

2. The applicant must be the principal investigator of the study and must have an education degree of M.Sc and higher. The applicant(s) must have experience in conducting research in the areas of medicine, nutrition, clinical science, food science or health science. Investigators should be academics from universities, hospitals, research institutes, centers for disease control or government agencies, etc.

3. Projects should be original, have good scientific rationale, innovative and have well-defined and specific objectives. The study design should have clear and validated methodologies with outcomes that have practical application value.

4. The proposed budget shall be reasonable and appropriate. Generally, the grant provided by Newtrition™ Asia Research Grant will cover all funding
requirements of the project. If additional funding is needed from other organizations or individuals, written consent shall be obtained from Newtrition™ Asia Research Grant, clearly stating the funding source and how the additional funds will be utilized.

Article IV Newtrition™ Asia Research Grant will prepare and issue the request for proposals and guidelines based on the developmental direction of the BASF (Asia Pacific) Nutrition and Health Division and public health concerns with regards to diet and nutrition in Asia Pacific.

Article V Newtrition™ Asia Research Grant and its Scientific Advisory Committee (“Scientific Advisory Committee”) shall be responsible for collection, review and management of the proposals.

Chapter II Application and Acceptance

Article VI The applicants shall prepare and submit the application in accordance to grant guidelines.

Article VII Content in research proposals must comply with the guidelines of Newtrition™ Asia Research Grant. The applicant must be the principal investigator of the project, should have an advanced professional degree or equivalent qualification and should be experienced in academic research in the fields of medicine, nutrition, health education or food science. Application procedures must be completed and the information requested must be fully provided.

Article VIII In order to maintain the fairness of the grant review process, members of the Scientific Advisory Committee and staff participating in organizing the Grant shall not participate in the final review of Science Advisory Committee if they submit proposals.

Article IX Applicants must fill in the application form truthfully using the required format, and should send by email to the email address designated by Newtrition™ Asia Research Grant: newtrition-research-grant@basf.com.

Article X The head of the university department or the research institute to which the applicant belongs to shall examine and make recommendations based on the scientific significance, practical application value, content, objectives, plan, method and feasibility of the research, and provide comments along with official seals. The head of the unit to which the applicant belongs to shall guarantee their support for the research and supervise the implementation of the plan with written comments and affix the official seal.
**Article XI** The applicant and its unit shall, within the application period, send the Application Form, one hard copy in English and an electronic copy (in email) to the Newtrition™ Asia Research Grant at the following address: BASF (South East Asia) Pte Ltd., 7 Temasek Boulevard #35-01 Suntec Tower One, Singapore 038987 Tel: +65 6432 3508, Attention: Dr Kai Lin Ek

**Article XII** Proposals shall be received by Newtrition™ Asia Research Grant prior to 30th of September 2016. Date indicated by post mark shall be considered as the submission date. Proposals submitted after the closing date for applications may be rejected.

**Chapter III Review and Approval**

**Article XIII** Review of proposals will be conducted by the Scientific Advisory Committee. The selection criteria is based on the scientific merit of the proposal, how the proposal addresses the focus topics and the application of the research findings.

**Article XIV** All proposals shall undergo preliminary review, followed by peer review by the Scientific Advisory Committee and a final selection will be made by the Newtrition™ Asia Research Grant team.

**Article XV** Newtrition™ Asia Research Grant shall be responsible for the preliminary review of all applications. It is advised that that any of the following applications will not be reviewed:

1. Applications not compliant with the purpose and scope of the Newtrition™ Asia Research Grant;
2. Incomplete applications or in which the content of the application form does not comply with the guidelines;
3. Applications whose grant demand exceeds €40,000 or whose implementation term exceeds 2 years;
4. Applications not compliant with the guidelines described in the request for proposals of the current year;
5. Applications not compliant with the description of these interim measures.

**Article XVI** Scientific Advisory Committee shall be responsible for reviewing the applications, providing written feedback to each application that passes the preliminary review stage. Five experts shall be appointed as the reviewers for each application, among whom, at least one expert shall be from Scientific Affairs, BASF (Asia Pacific) Nutrition and Health Division and shall be
responsible for reporting the review comments and results to Scientific Advisory Committee.

**Article XVII** Scientific Advisory Committee shall review and make the final selection from all the projects based on reviewer comments. Sponsored projects shall be selected by majority vote of Scientific Advisory Committee members present at the Scientific Advisory Committee Meeting.

**Article XVIII** Newtrition™ Asia Research Grant will invite the winners of the previous year’s grants as well as the winners of the current year’s grant to attend the award ceremony of Newtrition™ Asia Research Grant. The previous year’s winners will report their research findings and the new winners will present their winning proposals. Newtrition™ Asia Research Grant will reimburse the invitees for the expenses of meals, accommodation and transportation.

**Article XIX** Newtrition™ Asia Research Grant will announce the winning proposals at the award ceremony. Newtrition™ Asia Research Grant will notify the principal investigators of the winning proposals.

**Chapter IV Implementation of the plan**

**Article XX** The principal investigator shall, within one month after the award ceremony, make any requests to modifications of the research plan in writing and submit to the Newtrition™ Asia Research Grant for approval. Newtrition™ Asia Research Grant and the principal investigator shall execute Cooperation Agreement within 30 days upon approval of the research project by Newtrition™ Asia Research Grant.

**Article XXI** In principle, the research project shall not be changed once approved. In case of unexpected circumstances, changes in projected objectives, increase in research content, suspension of implementation plan, extension or shortening of implementation term of the project for unexpected reasons, the principal investigator shall send request for change to Newtrition™ Asia Research Grant for approval. If Newtrition™ Asia Research Grant approves such changes, Newtrition™ Asia Research Grant and the person in charge of the project shall execute a supplementary agreement thereto.

**Article XXII** The principal investigator of the project and the main research persons in the project team shall not be changed during the project implementation period. Under unforeseen circumstances, such as restructuring of the research unit, more than a three month absence for overseas placement or medical reasons, an appropriate replacement shall be appointed. After examination and approval by the leaders of the university or research institute,
the resume, academic credibility, research capability of the successor shall be submitted for examination and approval by Newtrition™ Asia Research Grant.

**Article XXIII** The principal investigator of the project shall prepare a Progress Report of Newtrition™ Asia Research Grant ("Progress Report ") mid-way through the project, and send to Newtrition™ Asia Research Grant (one English copy) after review by the lead researcher of the university or research institute.

**Article XXIV** Newtrition™ Asia Research Grant will arrange an annual appointment to supervise and check the implementation of the project plan and the use of the grant; in which the principal investigator of the project and person implementing the project shall render active coordination. In case of failure to submit an acceptable Progress Report of Project, no progress made in work, or inappropriate use of grant, and failure to make supplementary report or rectification, Newtrition™ Asia Research Grant may suspend or cancel the implementation of the project at any time.

**Article XXV** The principal investigator of the unit where the project is located shall consult with each other and be responsible for the planning, implementation and management of the project and for preparation of the relevant reports and follow up of the grant.

**Article XXVI** The implementation unit, the principal investigator and implementation personnel of the project shall keep confidential the project content and the implementation thereof. Where BASF thinks necessary, the implementation unit and/or all related personnel of the project shall enter into confidentiality agreement with BASF.

**Chapter V Financial Management**

**Article XXVII** The following payment mode will be adopted for sponsored projects that can be completed within 18 months:

1. 40% of total amount for the project will be paid within the first month after the principal investigator of the project and Newtrition™ Asia Research Grant enter into a Cooperation Agreement;

2. 40% of total amount for the project will be paid in accordance to the Progress Report of Project delivered by the principal investigator of the project and deemed acceptable by the Newtrition™ Asia Research Grant. Progress Report of Project shall include: information of project progress, result achieved, problems encountered and grant use.
3. 20% of the total amount of the project will be paid at the end of the project after the principal investigator of the project delivers project report in accordance with Article XXX and appraisal is made by Newtrition™ Asia Research Grant. For projects that will be completed after 18 months, payment mode will be specified in the sponsorship agreement separately entered into.

Article XXVIII Newtrition™ Asia Research Grant shall be the grant provider. The recipient of the grant shall not request for change, alteration or replacement of the amount and purpose of such grant for any reasons whatsoever.

Article XXIX Project grant shall be allocated to the implementation unit in accordance to the progress of project and provisions of the contract. The implementation unit shall establish special account for the grant so that the dedicated grant can be used for its dedicated purpose under strict management.

Chapter VI Supervision and Management

Article XXX Within one month after the end of sponsored project, the principal investigator of the project shall prepare the project report as stipulated in the contract of the Newtrition™ Asia Research Grant ("Project Report"). This report should include a summary of the research work, complete study results and a full reference list. The report should also have been reviewed by the head or unit leader of the university or research institute. The principal investigator of the project shall submit this project report to the Newtrition™ Asia Research Grant (one English copy and one Chinese copy for greater China applicants) in both hard and electronic copy.

Article XXXI Newtrition™ Asia Research Grant will, as necessary, organize experts to appraise and accept the concluded project, making fair assessment of completion of the research stipulated by the project, target achieved, significance, use of grant, management of the project, etc.

Article XXXII Newtrition™ Asia Research Grant should be notified first in writing if any research result qualifies for a patent application and prior to publication in a thesis or any applications for authentication. If the research group would like to apply for a patent based on the results of the project, the principal investigator of the project shall submit a written report to Newtrition™ Asia Research Grant in advance and proceed with the patent application only after it has been approved by Newtrition™ Asia Research Grant. BASF shall have the ownership of the research result, including but not limited to intellectual property right and the right to dispose, apply for patent, or decide to have it treated in confidence as technical secret, or make public the research result. Without written consent from BASF, the implementation unit and person in charge of implementation of the
project and the implementation persons of the project shall not make the research result public, have it published and /or applied for authentication in any way. Publications will be required to acknowledge the Newtrition™ Asia Research Grant by BASF as a source of funding and include the BASF health ingredient brand name in the manuscript.

**Article XXXIII** The winner and collaborator of this grant shall acknowledge the sponsorship of Newtrition™ Asia Research Grant when the grant-sponsored project is mentioned on any occasion, and shall submit a copy of the communication material to Newtrition™ Asia Research Grant.

**Article XXXIV** The implementation personnel of the project who accepts Newtrition™ Asia Research Grant shall be deemed to agree that Newtrition™ Asia Research Grant can use his/her name and introduce the research the grant was used for to any media. Newtrition™ Asia Research Grant may not obtain consent from such person himself when publicizing work related with this grant-sponsored project.

**Chapter VII Supplementary Provisions**

**Article XXXV** Newtrition™ Asia Research Grant shall reserve the right to modify and cancel the use right of some Newtrition™ Asia Research Grant in case of force majeure. Meanwhile, it shall also reserve the right to extend the project term and delay the release of bidding date.

**Article XXXVI** Modification and supplementation to these Measures will be released separately and deemed as an integrated part of these Measures, which shall have the equal effect.

**Article XXXVII** It shall be deemed that the principal investigator of the project accepting Newtrition™ Asia Research Grant fully accepts all terms of these Measures and any modification thereto.

**Article XXXVIII** These Measures shall be implemented since the release date, Newtrition™ Asia Research Grant shall be responsible for the interpretation of these Measures.

These Measures have 38 articles in total. No text is below.