


**BASF SE**



**WKN:** BASF11    **ISIN:** DE000BASF111    **Land:** Deutschland

Nachricht vom 06.03.2018 | 11:03

**BASF SE: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution**

BASF SE  
 06.03.2018 / 11:03  
 Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.  
 The issuer is solely responsible for the content of this announcement.

**Notification of Major Holdings**

**1. Details of issuer**  
 BASF SE  
 Carl-Bosch-Straße 38  
 67056 Ludwigshafen  
 Germany

**2. Reason for notification**

<input checked="" type="checkbox"/>	Acquisition/disposal of shares with voting rights
<input type="checkbox"/>	Acquisition/disposal of instruments
<input type="checkbox"/>	Change of breakdown of voting rights
<input checked="" type="checkbox"/>	Other reason: Voluntary group notification with triggered threshold on subsidiary level

**3. Details of person subject to the notification obligation**

Name:	City and country of registered office:
BlackRock, Inc.	Wilmington, DE United States of America (USA)

**4. Names of shareholder(s)**  
 holding directly 3% or more voting rights, if different from 3.

**5. Date on which threshold was crossed or reached:**  
 28 Feb 2018

**6. Total positions**

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	total of both in % (7.a. + 7.b.)	total number of voting rights of issuer
Resulting situation	6.69 %	0.11 %	6.80 %	918478694
Previous notification	6.44 %	0.07 %	6.51 %	/

**7. Notified details of the resulting situation**  
**a. Voting rights attached to shares (Sec.s 33, 34 WpHG)**

ISIN	absolute		in %	
	direct (Sec. 33 WpHG)	indirect (Sec. 34 WpHG)	direct (Sec. 33 WpHG)	indirect (Sec. 34 WpHG)

DE000BASF111	0	61480394	0.00 %	6.69 %
<b>Total</b>		61480394		6.69 %

**b.1. Instruments according to Sec. 38 para. 1 No. 1 WpHG**

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Lent Securities	N/A	N/A	279189	0.03 %
		<b>Total</b>	279189	0.03 %

**b.2. Instruments according to Sec. 38 para. 1 No. 2 WpHG**

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
Call Option	16.03.2018		Physical	13700	0.001 %
Call Option	20.04.2018		Physical	2700	0.0003 %
Contract for Difference	N/A	N/A	Cash	670676	0.07 %
		<b>Total</b>		687076	0.07 %

**8. Information in relation to the person subject to the notification obligation**

<input type="checkbox"/>	Person subject to the notification obligation is not controlled and does itself not control any other undertaking(s) holding directly or indirectly an interest in the (underlying) issuer (1.).
<input checked="" type="checkbox"/>	Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least held 3% or more)	% of voting rights through instruments (if at least held 5% or more)	Total of both (if at least held 5% or more)
BlackRock, Inc.	%	%	%
Trident Merger, LLC	%	%	%
BlackRock Investment Management, LLC	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock Holdco 4, LLC	%	%	%
BlackRock Holdco 6, LLC	%	%	%
BlackRock Delaware Holdings Inc.	%	%	%
BlackRock Institutional Trust Company, National Association	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock Holdco 4, LLC	%	%	%
BlackRock Holdco 6, LLC	%	%	%
BlackRock Delaware Holdings Inc.	%	%	%
BlackRock Fund Advisors	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock Capital Holdings, Inc.	%	%	%
BlackRock Advisors, LLC	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%

BR Jersey International Holdings L.P.	%	%	%
BlackRock (Singapore) Holdco Pte. Ltd.	%	%	%
BlackRock (Singapore) Limited	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
BR Jersey International Holdings L.P.	%	%	%
BlackRock (Singapore) Holdco Pte. Ltd.	%	%	%
BlackRock HK Holdco Limited	%	%	%
BlackRock Asset Management North Asia Limited	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
BR Jersey International Holdings L.P.	%	%	%
BlackRock (Singapore) Holdco Pte. Ltd.	%	%	%
BlackRock HK Holdco Limited	%	%	%
BlackRock Lux Finco S.à.r.l.	%	%	%
BlackRock Trident Holding Company Limited	%	%	%
BlackRock Japan Holdings GK	%	%	%
BlackRock Japan Co., Ltd.	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
BR Jersey International Holdings L.P.	%	%	%
BlackRock Australia Holdco Pty. Ltd.	%	%	%
BlackRock Investment Management (Australia) Limited	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
BR Jersey International Holdings L.P.	%	%	%
BlackRock Holdco 3, LLC	%	%	%
BlackRock Canada Holdings LP	%	%	%
BlackRock Canada Holdings ULC	%	%	%
BlackRock Asset Management Canada Limited	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
BR Jersey International Holdings L.P.	%	%	%
BlackRock Group Limited	%	%	%

BlackRock Advisors (UK) Limited	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
BR Jersey International Holdings L.P.	%	%	%
BlackRock Group Limited	%	%	%
BlackRock Luxembourg Holdco S.à.r.l.	%	%	%
BlackRock UK Holdco Limited	%	%	%
BlackRock Asset Management Schweiz AG	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
BR Jersey International Holdings L.P.	%	%	%
BlackRock Group Limited	%	%	%
BlackRock Luxembourg Holdco S.à r.l.	%	%	%
BlackRock (Luxembourg) S.A.	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
BR Jersey International Holdings L.P.	%	%	%
BlackRock Group Limited	%	%	%
BlackRock Luxembourg Holdco S.à.r.l.	%	%	%
BlackRock Investment Management Ireland Holdings Limited	%	%	%
BlackRock Asset Management Ireland Limited	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
BR Jersey International Holdings L.P.	%	%	%
BlackRock Group Limited	%	%	%
BlackRock International Limited	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
BR Jersey International Holdings L.P.	%	%	%
BlackRock Group Limited	%	%	%
BlackRock International Limited	%	%	%
BlackRock Life Limited	%	%	%
BlackRock, Inc.	%	%	%
BlackRock Holdco 2, Inc.	%	%	%
BlackRock Financial Management, Inc.	%	%	%
BlackRock International Holdings, Inc.	%	%	%
	%	%	%

BR Jersey International Holdings L.P.			
BlackRock Group Limited		%	%
BlackRock (Netherlands) B.V.		%	%
BlackRock, Inc.		%	%
BlackRock Holdco 2, Inc.		%	%
BlackRock Financial Management, Inc.		%	%
BlackRock International Holdings, Inc.		%	%
BR Jersey International Holdings L.P.		%	%
BlackRock Group Limited		%	%
BlackRock Investment Management (UK) Limited		%	%
BlackRock, Inc.		%	%
BlackRock Holdco 2, Inc.		%	%
BlackRock Financial Management, Inc.		%	%
BlackRock International Holdings, Inc.		%	%
BR Jersey International Holdings L.P.		%	%
BlackRock Group Limited		%	%
BlackRock Investment Management (UK) Limited		%	%
BlackRock Asset Management Deutschland AG		%	%
BlackRock, Inc.		%	%
BlackRock Holdco 2, Inc.		%	%
BlackRock Financial Management, Inc.		%	%
BlackRock International Holdings, Inc.		%	%
BR Jersey International Holdings L.P.		%	%
BlackRock Group Limited		%	%
BlackRock Investment Management (UK) Limited		%	%
BlackRock Asset Management Deutschland AG		%	%
iShares (DE) I Investmentaktiengesellschaft mit Teilgesellschaftsvermögen		%	%
BlackRock, Inc.		%	%
BlackRock Holdco 2, Inc.		%	%
BlackRock Financial Management, Inc.		%	%
BlackRock International Holdings, Inc.		%	%
BR Jersey International Holdings L.P.		%	%
BlackRock Group Limited		%	%
BlackRock Investment Management (UK) Limited		%	%
BlackRock Fund Managers Limited		%	%

**9. In case of proxy voting according to Sec. 34 para. 3 WpHG**

Date of general meeting:	
Holding position after general meeting:	% (equals voting rights)

**10. Other explanatory remarks:**

--

06.03.2018 The DGAP Distribution Services include Regulatory Announcements,  
Financial/Corporate News and Press Releases.  
Archive at [www.dgap.de](http://www.dgap.de)

---

Language: English  
Company: BASF SE  
Carl-Bosch-Straße 38  
67056 Ludwigshafen  
Germany  
Internet: [www.basf.com](http://www.basf.com)

End of News DGAP News Service

DGAP – ein Service der EQS Group AG  
[Twitter](#) | [Impressum](#) | [AGB](#) | [Rechtliche Hinweise & Datenschutz](#)