

Supply Chain Policy for Conflict Minerals

BASF creates chemistry for a sustainable future. We continuously drive sustainability in products and solutions, combining economic success, social responsibility and environmental protection in our business operations and thereby enable our customers to meet current and future needs of society.

Ensuring the principles of sustainable development in our supply chain is important to us. We want to partner with our suppliers to further develop their sustainability performance in our supply chain. Our [Supplier Code of Conduct](#) includes our expectations to suppliers in terms of their Environmental, Social and Governance performance. We implemented the obligations derived from the E.U. Conflict Minerals Regulation (2017/821) which defines supply chain due diligence for importers and processors of tin, tantalum and tungsten, gold, and their ores from conflict-affected and high-risk areas (CAHRAs).

We procure a number of mineral raw materials, which we use to produce mobile and process emissions catalysts or battery materials, among other products.

BASF is committed to support and contribute to the respect of human rights and ethics in business. Considering this and other risks that may be connected to the extraction, trading, handling and exporting of minerals from CAHRAs, BASF adopts this Policy in responsible sourcing of minerals. We commit to submit it to our suppliers to serve as a common reference for conflict-sensitive sourcing practices and to promote risk awareness, all in alignment with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from CAHRAs.

Our commitment to avoid minerals that may pose a risk of contributing the harm listed in Annex II of the OECD guidance includes the following:

- We commit to refraining from any action which contributes to the financing of conflict and we commit to comply with relevant United Nations sanctions resolutions or, where applicable, domestic laws implementing such resolutions.
- We will neither tolerate nor by any means profit from, contribute to, assist with or facilitate the commission by any party of
 - Any form of torture, cruel, inhuman degrading treatment;
 - Any form of forced or compulsory labor;
 - The worst forms of child labor;
 - Other gross human rights violations and abuses such as sexual violence;
 - War crimes or other violations of international humanitarian law, crimes against humanity or genocide.
- We will not tolerate any direct or indirect support to non-state armed groups through the extraction, transport, trade, handling or export of minerals.

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- We agree to eliminate direct or indirect support to public or private security forces who illegally control mine sites, transportation routes and upstream actors in the supply chain; illegally tax or extort money or minerals at point of access to mine sites, along transportation routes or at points where minerals are traded; or illegally tax or extort intermediaries, export companies or international traders.
- We will not offer, promise, give or demand any bribes, and will resist the solicitation of bribes to conceal or disguise the origin of minerals, to misrepresent taxes, fees and royalties paid to governments for the purposes of mineral extraction, trade, handling, transport and export.
- We will support efforts or take steps to contribute to the effective elimination of money laundering where we identify a reasonable risk of money-laundering resulting from, or connected to, the extraction, trade, handling, transport or export of minerals derived from the illegal taxation or extortion of minerals at points of access to mine sites, along transportation routes or at points where minerals are traded by upstream suppliers.
- We will ensure that all taxes, fees, and royalties related to mineral extraction, trade and export from CAHRAs are paid to governments and, in accordance with the company's position in the supply chain, we commit to disclose such payments in accordance with the principles set forth under the Extractive Industry Transparency Initiative (EITI)

In case we identify a reasonable risk described above in our supply chain, we will immediately take appropriate actions.

Should you have any concerns about illegal or improper conduct, please contact the responsible BASF Compliance Hotline, which can be found using the following link: [Code of Conduct \(basf.com\)](https://www.basf.com/codeofconduct)

Nothing in this document should be interpreted or applied in a manner that violates Applicable Law.

This document is not intended to be and does not constitute a contract or otherwise create a contractual obligation or any other rights (expressed or implied) or form the basis of any cause of action or legal proceeding in or by any third party.

YOU are part of our supply chain – BASF counts on your commitment!

