| | | BASF INDIA L | | -2012 | | | | | |
|--------------------|--|------------------------|----------------------|---------------------------|------------------------|-----------------|-----------------------|--------------------------------|--|
| ., . , | atement showing Shareholding Pattern | | | 40 | | | | | |
| Cate- | DDE :500042 Name of Scrip:BASF Class of Security : Equ | uity As on : quarter e | ended 30-06-20 | Number of | Asa% | of total | Asa | % of total | |
| gory | Partly paid up shares | | | partly paid | | of partly | | er of shares | |
| Code | | | | up shares | paid up | shares | of the | Company | |
| | Held by Promoter/Promoter group | | | | | | | | |
| | Held by Public Total | | | 0 | 0. | 00 | | 0.00 | |
| | | | | Number of | As a % | of total | As a % of total | | |
| | Outstanding Convertible Securities | | | outstanding | | tstanding | | shares of the | |
| | | | | Securities | Con. Se | curities | - | iy, assuming /ersion of the | |
| | | | | | | | | curities | |
| | Held by Promoter/Promoter group | | | | | | | | |
| | Held by Public Total | | | 0 | 0. | 00 | | 0.00 | |
| | | | | 0 Number of | | of total | Asa | % of total | |
| | | | | warrants | | f warrants | | er of shares | |
| | <u>Warrants</u> | | | | | | | Company | |
| | | | | | | | | ming full on of warrants | |
| | Held by Promoter/Promoter group | | | | | | | | |
| | Held by Public | | | | _ | | | | |
| | Total | | | 0 | 0. | 00 | | 0.00 | |
| | Total paid up capital of the Company, assuming full conversion of warrants and convertible securities | | | | | | | | |
| Cate- | | Number of | Total No. | Number of | Total share | • | Shares pledged or | | |
| gory Code | Category of Shareholders | Share- holders | of shares | shares held in demated | a percenta number o | | otherwis Number of | e encumbered As a | |
| ooue | onarchiolacia | liolucia | | form | | entage of | Shares | % | |
| | · | | | | (A+B) ¹ | (A+B+C) | | (NA) 8 mm // | |
| (1) (A) | (II) Shareholding of Promoter | (Ш) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX)=(VIII)/(IV)*100 | |
| (~) | and Promoter Group ² | | | | | | | | |
| (1) | Indian | | | | | 0.00 | | | |
| (a) (b) | Individuals/H.U.F Central/State Government(s) | 0 | 0 | | 0.00 | 0.00 | 0 | 0.00 | |
| (c) | Bodies Corporate | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| (d) | Financial Institutions/Banks | 0 | 0 | | 0.00 | 0.00 | | | |
| (e) | Any Other (specify) Employee Welfare Trust | 0 | 0 | | 0.00 | 0.00 | | | |
| | | 0 | 0 | - | 0.00 | 0.00 | | | |
| (2) | Sub-Total (A)(1) Foreign | 0 | 0 | 0 | 0.00 | 0.00 | 0 | 0.00 | |
| (2) | roleigii | 0 | 0 | 0 | 0.00 | 0.00 | | | |
| (a) | Individuals(Non Resident Individuals/Foreign Individuals) | | 04740000 | 04740000 | 73.33 | 70.00 | | | |
| (b) (c) | Bodies Corporate Institutions | 3 0 | 31743220 0 | 31743220 0 | 0.00 | 73.33 0.00 | | | |
| (d) | Qualified Foreign Investor | | | | | | | | |
| (e) e-i | Any Other (specify) | 0 | 0 | 0 | 0.00 | 0.00 | | | |
| 0-1 | Sub_Total (A)(2) | 3 | 31743220 | | 73.33 | 73.33 | | | |
| | Total holding of Promoter and Promoter Group | 3 | 31743220 | 31743220 | 73.33 | 73.33 | 0 | 0.00 | |
| (B) | (A)=(A)(1)+(A)(2) Public Shareholding | | | | | | | | |
| | Institutions | | | | | | | | |
| (a) (b) | Mutual Fund/UTI Financial Institutions/Banks | 28 60 | 506444 921933 | 504887 920088 | 1.17 2.13 | 1.17 2.13 | | | |
| (D) (C) | Central/State Government(s) | 1 | 30 | | 0.00 | 0.00 | | | |
| (d) | Venture Capital Funds | 0 | 0 | 0 | 0.00 | 0.00 | | | |
| (e) (f) | Insurance Companies Foreign Institutional Investors | 5 28 | 1384366 329298 | 1384366 329028 | 3.20 0.76 | 3.20 0.76 | | | |
| (g) | Foreign Venture Cap. Investors | 0 | 0 | | 0.00 | 0.00 | - | | |
| (h) (i) | Qualified Foreign Investor | _ | | | | | | | |
| (i) (i-ii) | Any Other (specify) | | | | | | | | |
| (i-ii) | | | | | | | | | |
| В 2 | Sub-Total (B)(1) Non Institutions | 122 | 3142071 | 3138369 | 7.26 | 7.26 | | | |
| (a) | Bodies Corporate | 694 | 1929658 | 1923858 | 4.46 | 4.46 | | | |
| (b) | Individuals Individual-i. Individual shareholders holding nominal share | | | | 12.76 | 12.76 | | | |
| I | capital up to Rs. 1 lakh | 39910 | 5522375 | 4699491 | 12.76 | 12.76 | | | |
| | ii) Individual shareholders holding nominal share capital in excess of Rs.1 lakh | | | | 1.67 | 1.67 | | | |
| (c) | excess of Rs.1 lakn Qualified Foreign Investor | 33 | 724586 | 674837 | | | | | |
| (d) | Any Other(specify) | | | | | | | | |
| (d-i) (d-ii) | Non-Resident Non-Rep Non-Resident - Rep | 205 265 | 121373 101091 | 112622 99956 | 0.28 | 0.28 | | | |
| (d-iii) (d-iii) | Foreign National | 205 | 01091 | - | 0.23 | 0.23 | | | |
| (d-iv) | Overseas Corporate Bodies | 0 | 0 | | 0.00 | 0.00 | | | |
| (d-v) (d-vi) | Foreign Bodies Trust | 0 | 0 1266 | | 0.00 | 0.00 | | | |
| (d-vii) | Clearing Member | 0 | 0 | 0 | 0.00 | 0.00 | | | |
| | Sub-Total (B)(2) Total Public shareholding (B)=(B)(1)+(B)(2) | 41114 | 8400349 | | 19.41 | 19.41 | | | |
| | Total Public shareholding (B)=(B)(1)+(B)(2) TOTAL (A)+(B) | 41236 41239 | 11542420 43285640 | | 26.67 100.00 | 26.67 100.00 | | | |
| (C) | Shares held by Custodians and against which | | | | | | | | |
| , | Depository Receipts have been issued | 0 | 0 | 0 | | 0.00 | | | |
| 1 2 | Promoter and Promoter Group Public | | | | | | | | |
| | Sub Total (C) | | | | | | | | |
| | GRAND TOTAL (A)+(B)+(C) | 41239 | 43285640 | 42393619 | XXX | 100.00 | 0 | 0.00 | |

(I) (b) Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| | | Details of Shar | res held | Encu | mbered share | •s(*) | Details of | fwarrants | | convertible irities | Total shares (including underlying |
|--------|----------------------------------|-----------------|---|------|---------------|---|-------------------|-----------|--|--|---|
| Sr.No. | Name of the shareholder | | As a % of grand total (A)+(B)+(C) | | As a | As a % of grand total (A)+(B)+(C) of sub- clause (I)(a) | No of warrants | | Number of convertible securities held | As a % of total number of convertible securities of the same class | shares assuming full conversion of warrants and convertible |
| | | | | | (VI)= | ,,,, | | | | | |
| (1) | () | (111) | (IV) | (V) | (V)/(III)*100 | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| - | BASF SOCIETAS EUROPAEA | 20939259 | | | | | | | | | 48.37 |
| _ | BASF CONSTRUCTION CHEMICALS GMBH | 1896061 | 4.38 | | | | | | | | 4.38 |
| 3 | BASF SCHWEIZ AG | 8907900 | 20.58 | | | | | | | | 20.58 |
| | TOTAL | 31743220 | 73.33 | 0 | 0.00 | 0.00 | | | | | 73.33 |

(*) The term "encumbrance" has the same meaning as assigned to it in refulatin 28(3) of the SAST Regulations , 2011

Statement showing holding of securities (including shares,warrants,convertible securities) of persons belonging to the category "Public" and holding (I)(c)(i) more than 1% of the total number of shares.

| Sr.No. | Name of the shareholder | Number of shares held | above} | No of warrants | As a % of total number of warrants of | sect | convertible irities As a % of total number of convertible securities of the same class | Total shares (including underlying shares assuming full conversion of warrants and convertible securities as a % of diluted share capital |
|--------|--|--------------------------|--------|----------------|--|-------|--|--|
| 1 | BAJAJ ALLIANZ LIFE INSURANCE COMPANY LTD | 1178374 | 2.12 | | N. A. | N. A. | N. A. | 2.72 |
| | LIFE INSURANCE CORPORATION OF INDIA | 735052 | 1.70 | | N. A. | N. A. | N. A. | 1.70 |
| 3 | GENERAL INSURANCE CORP OF INDIA | 699999 | 1.62 | N. A. | N. A. | N. A. | N. A. | 1.62 |
| | TOTAL | 2613425 | 6.04 | | | | | 6.04 |

| (l)(c)(ii) | Name(s) of the | | | Details of | warrants | Details of | convertible | |
|------------|---|------------------|--------|----------------|----------|------------|---|-----------------------------|
| | shareholder(s) and the Persons Acting in Concert (PAC) with them | | | No of warrants | the same | securities | As a % of total number of convertible securities of the same | convertible securities a |
| Sr.No. | | Number of shares | above} | held | class | held | class | share capit |
| | N. A. | | | N. A. | N. A. | N. A. | N. A. | |

| (1) (d) | Statement showing details of locked in shar | es | |
|---------|---|----------------------------|---|
| Sr. No. | Name of shareholder | No. of locked-in shares | Locked-in shares as percentage of total no. of shares {i.e.Grand Total(A)+(B)+ C) indicated in statement at para(1)(a) above} |
| 1 | BASF SCHWEIZ AG | 485478 | |
| 2 | BASF Construction Chemicals GmbH | 474016 | |
| 3 | BASF SOCIETAS EUROPAEA | 154897 | 0.36 |
| | TOTAL | 1114391 | 2.57 |

| (II) (a) | Statement showing details of Depository Receipts (| DRS) | | |
|----------|--|------------|---|---|
| Sr. No. | Type of outstanding Dr(ADRs, GDRs, SDRs, etc) | No. of DRs | No. of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total no. of shares {1.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above} |
| | | | | 0.00 |
| | TOTAL | | 0 0 | 0.00 |

| (II) (b) | Statement showing holding of Depository Receipts (DRs) where underlying shares are in excess of 1% of the total no.of shares | | | | | | | |
|----------|--|--|--|---|--|--|--|--|
| Sr. No. | Name of the DR Holder | Type of outstanding DR(ADRs, GDRs, SDRs, etc.) | No. of shares underlying outstanding DRs. | Shares underlying outstanding DRs as a percentage of total no. of shares {I.e. Grand Total (A)+(B)+C) indicated in Statement at para (1)(a)above} | | | | |
| | | | | 0.00 | | | | |
| | TOTAL | | 0 | 0.00 | | | | |

| | ement showing the voting pattern of shareholders, if | more than one class of | | | | | | |
|----------|---|-------------------------------|------------------|---------|------------|-----------|-----------------|--|
| | es/securities is issued by the issuer. | | | | | | | |
| (Give | e description of voting rights for each class of securi | ty Class X, Class Y, Class Z) | | | | | | |
| - | | | | | _ | | | |
| Category | Caegory of shareholder | | r of Voting Righ | ts | Total | Total Vot | ing Rights (VI) | |
| code | | | n each class of | | Voting | | | |
| | | | securities | | Rights | | | |
| | | | | | | As a % | As a % | |
| | | Class X | Class Y | Class Z | (III+IV+V) | of (A+B) | of (A+B+C) | |
| (1) | (11) | (111) | (IV) | (V) | (VI) | (VII) | (VIII) | |
| (A) | Promoter and Promoter Group | | | | | | | |
| (1) | Indian | | | | | | | |
| | Individuals/Hindu Undivided Family | | | | | | | |
| | Central/State Government(s) | | | | | | | |
| | Bodies Corporate | | | | | | | |
| | Financial Institutions/Banks | | | | | | | |
| (e) | Any Other (specify) | | | | | | | |
| | Sub-Total (A) (1) | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | |
| (2) | Foreign | | | | | | | |
| (a) | Individuals (Non Resident/Foreign) | | | | | | | |
| (b) | Bodies Corporate | | | | | | | |
| (c) | Institutions | | | | | | | |
| | Any Other (specify) | | | 1 | | 1 | | |
| | Sub-Total (A)(2) | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | |
| | Total Shareholding of Ptomoter | | | | | | | |
| | and Ptomoter Group (A)=(A)(1) + | | | | | | | |
| | (A)(2) | | | | | | | |
| (B) | Public Shareholding | | | | | 1 | | |
| (1) | Institutions | | | | | | | |
| | Mutual Funds/UTI | | | | | | | |
| | Financial Institutions/Banks | | | | | | | |
| , | Central/State Government(s) | | | | | | | |
| | Venture Capital Funds | | | | | | | |
| | Insurance Companies | | | | | - | | |
| | Foreign Institutional Investors | | | | | | | |
| | Foreign Venture Capital Investors | | | - | | - | | |
| | Any Other (specify) | | | ł | 1 | + | + | |
| (11) | Sub-Total (B) (1) | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | |
| (2) | Non Instituons | ы. л . | IN.A. | 11.7. | N.A. | N.A. | 11.7. | |
| · · · · | Bodies Corporate | | | | | | | |
| | Individuals | | | | | | | |
| (0) | i. Individuals | | | | | | | |
| | nominal share capital upto | | | | + | | + | |
| | Rs. 1 lakh | | | | | | | |
| | | | | | | + | | |
| | ii.Individual shareholders holding | | | | | + | | |
| | nominal share capital in excess | | | | 1 | + | | |
| | of Rs. 1 lakh. | | | l | + | + | | |
| (C) | Any Other (specify) | | N | | | | | |
| | Sub-Total (B) (2) | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | |
| (0) | TOTAL (A) + (B) | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | |
| (C) | Shares held by Custodians and | | | | - | | | |
| | against which Depository Receipts | | | | | - | | |
| | have been issued | | | | | | | |
| | GRAND TOTAL (A)+(B)+(C) | N.A. | N.A. | N.A. | N.A. | N.A. | N.A. | |